



**FRA**

EUROPEAN UNION AGENCY FOR FUNDAMENTAL RIGHTS



## **ANNEX A - TENDER SPECIFICATIONS**

**F-SE-13-T06**

### **Provision of services for participatory action research on local Roma integration plans**





## 1. Description of the tender

The overall objective of the services to be provided under this framework contract is to improve the Contracting Authority's knowledge and understanding of drivers and barriers that affect the development of actions implementing national Roma Integration Strategies at the local level and to identify how barriers can be overcome and how drivers can be shared as good practice.

The Contract Notice for the present call for tenders was published on 26/04/2013 with reference number 2013/S 082-137092.

## 2. Division into lots

This tender is not divided into lots. Submission of a tender for only some of the services to be provided under the framework contract will lead to the rejection of the tender.

## 3. Purpose of the tender

The purpose of this invitation to tender is to award a framework services contract for the provision of services for participatory action research on local Roma integration plans.

The Contracting Authority intends to award a minimum of three (3) and a maximum of five (5) framework contracts, in cascading and descending order, for the provision of services as specified in Annex A.1 Technical Specifications, provided that there is a sufficient number of economic operators that satisfy the selection criteria or a sufficient number of admissible tenders which meet the award criteria.

The multiple framework contracts will take the form of contracts which are separate but concluded on identical terms with each of the successful tenderers. Framework contracts involve no direct commitment and, in particular, do not constitute orders per se. Instead, they lay down the legal, financial, technical and administrative provisions governing the relations between the Contracting Authority and the Contractor during the period of its validity. Specific contracts or order forms will be issued throughout the validity of the framework contract. Such specific contracts or orders will be attached to the Framework Contract. The Draft framework contract (Annex B) specifies the basic conditions applicable to any assignment placed under its terms. Signature of a framework contract does not oblige the Contracting Authority to place an assignment. The framework contract does not preclude the Contracting Authority from assigning tasks in the areas set out above to the other Contractors or from having these tasks carried out by Contracting Authority's staff.

## 4. Place of delivery or performance

The place of performance of the tasks shall be the Contractor's premises or any other place indicated in Annex A.1 Technical Specifications.

## 5. Duration

The framework contract(s) resulting from the present call for tenders shall enter into force the date on which it is signed by the last contracting party.





The framework contract(s) will have an initial duration of one (1) year from the date of signature and may be renewed up to three (3) times for an additional period of one (1) year each time. The Contract shall be renewed automatically under the same conditions, unless written notification to the contrary is sent by one of the contracting parties and received by the other before expiry of the period indicated in the Draft framework contract (Annex B). Renewal does not imply any modification or deferment of existing obligations. The duration of the framework contract(s) shall not exceed four (4) years.

Implementation of the contract(s) may not start before the date on which the contract enters into force.

## **6. Volume**

The maximum framework contract amount has been estimated at EUR 1,500,000.00 excluding VAT. The indicative maximum amount for the services described in sections 4.1, 4.2 and 4.3 of Annex A.1 Technical Specifications is EUR 1,000,000.00. The indicative maximum amount for the services described in section 4.4 of Annex A.1 Technical Specifications is EUR 500,000.00.

The Contracting Authority may exercise the option to increase the estimated contract amount of the framework contract and/or the specific contracts or order forms at a later stage via negotiated procedure with the successful tenderer(s) in accordance with Article 134 (1) (e) and (f) of the Rules of Application of the Financial Regulation<sup>1</sup>.

## **7. Terms of payment**

The payment arrangements governing the contracts resulting from the present call for tenders are detailed in the relevant articles of Annex B – Draft framework contract. Tenderers are strongly advised to carefully read those articles before submitting their tenders.

## **8. Financial guarantees**

Based on a risk assessment of each specific contract, the Contracting Authority may request a pre-financing guarantee in the amount of the pre-financing and in the form set out in Annex C .

## **9. Participation in the tendering procedure**

Participation in tendering procedures is open on equal terms to all natural and legal persons coming within the scope of the Treaties and to all natural and legal persons in a third country which has a special agreement with the Communities in the field of public procurement on the conditions laid down in that agreement<sup>2</sup>.

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<sup>1</sup> Commission delegated Regulation No 1268/2012 of 29 October 2012 on the rules of application of Regulation No 966/2012 of the European Parliament and the Council on the financial rules applicable to the general budget of the Union.

<sup>2</sup> Please note that the European Union Agency for Fundamental Rights is not part of the Agreement on Government Procurement (GPA) concluded within the World Trade Organisation. Therefore, the Agency's procurement procedures are not open to tenderers from GPA countries.





## 10. Consortia or group of service providers

Joint tenders submitted by several economic operators (a consortium or a group of companies) are allowed. In such cases, one of the members must be designated as the group leader or group manager. All members of a consortium or a group of companies (i.e. the leader and all other members) are jointly and severally liable towards the Contracting Authority. Changes in the composition of the consortium or group of companies must be expressly authorised by the Contracting Authority.

Tenderers submitting joint bids shall describe how their cooperation will be organised in order to perform the tasks specified in Annex A.1 Technical Specifications.

Joint tenders will be assessed as follows:

- The exclusion criteria will be assessed in relation to each economic operator individually. The Declaration of Honour with respect to the Exclusion Criteria and Absence of Conflict of Interest included in Annex C, duly signed and dated, stating that the tenderer is not in one of the exclusion situations, must be provided by each member of the consortium or the group.
- The selection criteria for economic and financial capacity will be assessed in relation to each economic operator individually. However, economic and financial criteria that shall be achieved above a certain minimum threshold (as specified in the selection criteria mentioned below) will be assessed in relation to the consortium or group of companies as a whole.
- The selection criteria for technical and professional capacity will be assessed in relation to the consortium or group of companies as a whole.

## 11. Subcontracting

Any intention to subcontract part of the contract must be clearly stated by the tenderer in Annex C. Tenderers must indicate the maximum percentage of the contract they intend to subcontract and the identity of those subcontractors they intend to work with whose intended share of the contract is above 10% of the total contract amount, and clearly state the nature of their links to those subcontractors.

For each subcontractor identified in the tender, tenderers must provide a Letter of Intent signed by the subcontractor stating their unambiguous undertaking to collaborate with the tenderer.

Offers involving subcontracting will be assessed as follows:

- The exclusion criteria of all identified subcontractors whose intended share of the contract is above 10% will be assessed. The Declaration of Honour with respect to the Exclusion Criteria and Absence of Conflict of Interest included in Annex C, duly signed and dated, stating that the subcontractor is not in one of the exclusion situations, must be provided by each identified subcontractor.
- Where the tenderer relies on the economic, financial, technical and professional capacity of the identified subcontractor(s) to meet the selection criteria, subcontractors shall be treated as if they were partners in a consortium or a group of companies for the purposes of the evaluation of the selection criteria, and therefore, they shall provide proof of economic, financial, technical and professional capacity as well.





Tenderers must inform the subcontractor(s) and include in their Letter of Intent that the provisions referring to checks and audits included in the Draft Contract (Annex B) may be applied to them.

Once the contract has been signed, the provisions regarding subcontracting included in the Draft Contract will apply. The Contracting Authority retains the right to approve or reject the proposed subcontractors.

If the tender includes subcontracting, it is recommended that contractual arrangements with subcontractors include mediation as a method of dispute resolution.

## **12. Visits to premises**

A visit to the premises is not foreseen.

## **13. Date and place of opening of the tenders**

Tenders will be opened at 10:30 hrs on 25/06/2013 at the Contracting Authority's address. An authorised representative of each tenderer may attend the opening of the bids. Companies wishing to attend are requested to notify their intention by sending a fax or e-mail at least 72 hours in advance to the fax or e-mail. This notification must be signed by an authorised officer of the tenderer and specify the name of the person who will attend the opening of the bids on the tenderers behalf.

## **14. Contacts between the tenderer and the Contracting Authority**

In principle, no contact is permitted between the Contracting Authority and the tenderers during the tendering procedure.

However, in exceptional circumstances contact may be made on the tenderers initiative before the final date for the submission of bids, in order (and only for this reason) to clarify the nature of the contract.

Such requests for further information may be made by fax, e-mail or to the address below:

European Union Agency for Fundamental Rights  
Invitation to tender No: F-SE-13-T06  
Administration  
Schwarzenbergplatz 11  
Vienna 1040 – Austria  
Fax: +43 (0)1 580 30 691 ; e-mail: [procurement@fra.europa.eu](mailto:procurement@fra.europa.eu)

Insofar as it has been requested in good time, the additional information will be sent simultaneously to all economic operators who requested the tender documents or who have expressed interest in submitting a tender, as soon as possible and no later than six calendar days before the deadline for the submission of tenders or, in the case of requests for information received less than eight calendar days before the deadline for the submission of bids, as soon as possible after the request for information has been received. The Contracting Authority will not process any request for additional information made less than five working days before the deadline for submission of tenders.





The answers to the requests for additional information will also be posted on <http://fra.europa.eu/en/about-fra/procurement>. The website will be updated regularly and it is the tenderers' responsibility to check for updates and modifications during the tendering period.

Similarly, contact may in exceptional circumstances be made on the Contracting Authority's initiative:

- a. before the final date for the submission of bids, in order to inform interested parties of an error, a lack of precision, an omission or any other material shortcoming in the drawing up of the documents of the invitation to tender; or
- b. after the opening of bids, where a bid requires clarification or in order to correct material errors made in drawing up a bid.

Please note that in any event such contact may not result in a modification of the terms of the bid.

### **15. Alteration or withdrawal of tenders**

Tenderers may alter or withdraw their tenders by written notification prior to the deadline for submission of tenders. No tender may be altered after the deadline for submission. Withdrawals must be unconditional and will end all participation in the tender procedure.

Any such notification of alteration or withdrawal must be prepared and submitted in accordance with the instructions on how to submit tenders included in the Invitation to tender, and the envelope must also be marked with "alteration" or "withdrawal" as appropriate.

### **16. Variants**

Variant solutions are not allowed and will not be taken into consideration.

### **17. Assessment of the tenderers**

Tenderers will be assessed on the basis of the exclusion (Phase I) and selection (Phase II) criteria described below.

#### **17.1 Exclusion criteria (Phase I)**

The aim of this phase is to assess if tenderers fall in any of the exclusion situations listed below and therefore, cannot take part in the tender procedure.

##### **17.1.1 Exclusion situations**

Tenderers shall be excluded from participation in the present procurement procedure if:

- a. they are bankrupt or being wound up, are having their affairs administered by the courts, have entered into an arrangement with creditors, have suspended business activities, are the subject of proceedings concerning those matters, or are in any analogous situation arising from a similar procedure provided for in national legislation or regulations;





- b. they or persons having powers of representation, decision making or control over them have been convicted of an offence concerning their professional conduct by a judgment of a competent authority of a Member State which has the force of *res judicata*;
- c. they have been guilty of grave professional misconduct proven by any means which the contracting authority can justify including by decisions of the EIB and international organisations;
- d. they are not in compliance with their obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which they are established or with those of the country of the contracting authority or those of the country where the contract is to be performed;
- e. they or persons having powers of representation, decision making or control over them have been the subject of a judgment which has the force of *res judicata* for fraud, corruption, involvement in a criminal organisation, money laundering or any other illegal activity, where such illegal activity is detrimental to the Union's financial interests, and
- f. following another procurement procedure or grant award procedure financed by the Union's budget, they have been declared to be in serious breach of contract for failure to comply with their contractual obligations.

Tenderers shall be excluded from the award of the contract if, during the present procurement procedure:

- g. are subject to a conflict of interest, and
- h. are guilty of misrepresentation in supplying the information required by the contracting authority as a condition of participation in the contract procedure or fail to supply this information.

### 17.1.2 Documentary evidence

At the tendering stage, tenderers shall declare that they are not in one of the situations listed above by submitting a signed Declaration of Honour and Absence of Conflict of Interest, in accordance with the form included in Annex C.

In addition, the tenderer to whom the contract is to be awarded (including all members of the consortium or group of companies and identified subcontractors whose intended share of the contract is above 10%) shall provide, within fourteen (14) calendar days and preceding the signature of the contract, the following documents as proof that the tenderer is not in one of the situations described above:

- for points (a), (b) and (e) above, recent extract(s) from the judicial record or, failing that, an equivalent document(s) recently issued by a judicial or administrative authority in the country of origin or provenance showing that those requirements are satisfied
- for points (a) or (d) above, a recent certificate issued by the competent authority of the State concerned.

Where the document or certificate referred to in the first two bullet points is not issued in the country concerned and for points (c) and (f) above, it may be replaced by a sworn or, failing that,





a solemn statement made by the interested party before a judicial or administrative authority, a notary or a qualified professional body in his country of origin or provenance.

Depending on the national legislation of the country in which the tenderer is established, the document referred to above shall relate to legal persons and/or natural persons including, where considered necessary by the Contracting Authority, company directors or any person with powers of representation, decision-making or control in relation to the tenderer.

The Contracting Authority may waive the obligation of a tenderer to submit the documentary evidence referred to above if such evidence has already been submitted to it for the purposes of another procurement procedure and provided that the issuing date of the documents does not exceed one year and that they are still valid. In such a case, the tenderer shall declare on his honour that the documentary evidence has already been provided in a previous procurement procedure and confirm that no changes in his situation have occurred.

Administrative or financial penalties may be imposed by the Contracting Authority on tenderers who are in one of the exclusion situations after they have been given the opportunity to present their observations.

These penalties are detailed in Article 109 of the Financial Regulation No 966/2012 of 25 October 2012 (OJ L 298 of 26 October 2012) and Article 145 of the Commission Delegated Regulation No 1268/2012 of 29 October 2012 on the rules of application of the Financial Regulation (OJ L 362 of 31 December 2012).

## **17.2 Selection criteria – Phase II**

The aim of this phase is to assess if tenderers have sufficient economic and financial capacity and sufficient technical and professional capacity to perform the contract.

### **17.2.1 Economic and financial capacity**

Proof of economic and financial capacity of the tenderers shall be furnished by the following documents and minimum requirements:

(a) Copy of the financial statements (balance sheets, and profit and loss accounts) for the last two (2) financial years for which accounts have been closed, where publication of the accounts is required under the company law of the country in which the economic operator is established. In case of a consortium, each consortium member shall present their own financial statements.

If the tenderer is not obliged to publish its accounts under the law of the state in which it is established, a copy of audited accounts for the last two (2) financial years should be presented. In case of a consortium, audited accounts for each consortium partner shall be presented.

(b) A statement of the annual average turnover for the last two (2) financial years for which accounts have been closed. The minimum average annual turnover of the tenderer shall be of 100,000.00 EUR. In case of a consortium, the annual average turnover for each of the partners shall be presented. The sum of the annual average turnovers of each partner will be taken into account to reach the minimum annual average turnover of 100,000.00 EUR.

If for some exceptional reason which the Contracting Authority considers justified, the tenderer is unable to provide the documentary evidence requested above, he may prove his economic







and financial capacity by any other means which the Contracting Authority considers appropriate.

The Contracting Authority may waive the obligation of a tenderer to submit the documentary evidence referred to above if such evidence has been submitted to it for the purposes of another procurement procedure and still complies with the requirements above.

An economic operator may, where appropriate and for a particular contract, rely on the economic and financial capacities of other entities, regardless of the legal nature of the links it has with them. It must in that case prove to the Contracting Authority that it will have at its disposal the resources necessary for the performance of the contract, for example by producing an undertaking on the part of those entities to place those resources at its disposal. The Contracting Authority may require that the economic operator and the entities referred to above are jointly liable for the execution of the contract.

### **17.2.2 Technical and professional capacity**

Proof of the technical and professional capacity of the tenderers shall be furnished by the following documents and minimum requirements:

(a) The educational and professional qualifications of the service provider or contractor and/or those of the firms managerial staff, in particular, those of the person or persons responsible for providing the services or carrying out the works. The tenderer is requested to include the CVs in Europass format of at least the following profiles described in Section 8 of Annex A.1 Technical Specifications: a **Project Manager**, a **Senior Expert**, a **Language Editor** and one **Coordinator** and one **Field Work Expert** for each of the proposed EU Member States.

The proposed CVs must possess the minimum educational and professional requirements stated under section 6 of Annex A.1 Technical Specifications.

(b) A list of the principal services provided in the past three (3) years, with the sums, dates and recipients. Tenderers are required to prove that they have performed at least 2 projects of a nature or scope similar to the services to be carried out under this tender.

An economic operator may, where appropriate and for a particular contract, rely on the technical and professional capacities of other entities, regardless of the legal nature of the links it has with them. It must in that case prove to the Contracting Authority that it will have at its disposal the resources necessary for the performance of the contract, for example by producing an undertaking on the part of those entities to place those resources at its disposal. The Contracting Authority may require that the economic operator and the entities referred to above are jointly liable for the execution of the contract.

## **18. Assessment of tenders – Phase III**

The aim of this phase is to evaluate from the technical and the financial points of view the offers submitted by the tenderers. The award method is based on the most economically advantageous offer.





## 18.1 Technical evaluation

Tenderers technical offer<sup>3</sup> will be evaluated on the basis of the following technical award criteria and their corresponding weightings:

Technical Award criteria/sub-criteria	Weighting in %
<b>(a) Project management</b>	<b>25</b>
(a.1) Description of approach adopted in order to implement the services and produce deliverables at the highest scientific quality including ad-hoc services.	15
(a.2) Composition of the project team	10
<b>(b) Project methodology</b>	<b>70</b>
(b.1) Proposal for the preparatory research and analysis services, using scenario in two different localities in two MS	10
(b.2) Proposed methodology for the services related to pilot activities and review of project plans services.	30
(b.3) Proposed methodology for the services related to the implementation of the project plans in all selected localities.	30
<b>(c) Data protection and copyright</b>	<b>5</b>
(c.1) Data protection and copyright	5
<b>TOTAL</b>	<b>100</b>

For each criterion (and sub-criterion) a number of points in a range between 0 to 100 points will be given. A tender can reach a maximum of 100 points per criterion and a maximum of 100 points in total. After the application of the corresponding weightings per criterion and sub-criterion as per the grid above, tenders scoring less than 60% in the total overall points or less than 50% in the points awarded for a single criterion will be excluded from the rest of the assessment procedure.

## 18.2 Financial evaluation

### 18.2.1 Financial award criteria

Tenderers financial offers will be evaluated on the basis of the following financial award criteria and their corresponding weightings:

<sup>3</sup> Please read carefully Part 2 – Technical proposal of Section 23 How to prepare a tender, to be informed about the required content of your technical offer.





Financial Award criteria	Weighting in %
1. Services related to preparatory research and analysis	30
2. Services related to the pilot testing in two selected localities in two Member States	30
3. Services related to the implementation of project plans in all localities	30
4. Ad-hoc expert and consultancy services	5
5. Participation in meetings at FRA premises	5
<b>TOTAL</b>	<b>100</b>

The tender with the lowest weighted financial offer will receive 100 points in the financial evaluation. The remaining tenders will receive their financial score in accordance with the following formula:

financial score for tender X = (lowest weighted financial offer / weighted financial offer of tender X) \* 100.

The financial offer for the **ad-hoc expert and consultancy services** (financial award criteria 4 above) will be evaluated on the basis of the financial award sub-criteria and their corresponding weightings below. The Country Coordinator and Filedwork Expert per country will have an **equal weighting** in the calculation of the ad-hoc expert and consultancy services financial evaluation.

Ad-hoc expert and consultancy services financial award sub-criteria	Price per person-day (per country) Weighting in %
Project Manager	20
Senior Expert	30
Country Coordinator (per country)	30
Fieldwork Expert (per country)	15
Language editor	5
<b>TOTAL</b>	<b>100</b>

The financial offer for the **participation in meetings at FRA premises** (financial award criteria 5 above) will be evaluated on the basis of the financial award sub-criteria and their corresponding weightings below:





Participation in meetings at FRA premises financial award sub-criteria	Price per person-day <sup>4</sup> at FRA premises first day Weighting in %	Price per person-day at FRA premises second and subsequent days Weighting in %
Project manager	12.5	12.5
Senior Expert	12.5	12.5
Country Coordinator	12.5	12.5
Fieldwork Expert	12.5	12.5
<b>TOTAL</b>	<b>100</b>	

The Country Coordinator and the Fieldwork Expert per country will have an **equal weighting** in the calculation of the participation in meetings at FRA premises financial evaluation.

### 18.2.2 Information concerning prices

The financial evaluation of the offers will be based on the prices quoted by the tenderers in their financial proposals. Tenderers must fill in the Financial Offer Form included in Annex C.

When filling in the Financial Offer Form, tenderers must take into consideration that prices shall be:

- expressed in euro. For tenders in countries which do not belong to the euro zone, tenderers shall quote their prices in euro and the price quoted may not be revised in line with exchange rate movements. It is for the tenderer to select an exchange rate and assume the risks or benefits deriving from any variation.
- inclusive of all costs and expenses, directly and indirectly connected with the goods and/or services to be supplied/provided and including all the charges (overheads, travel and subsistence), unless otherwise stated in the tender documents.
- free of all duties and taxes (in particular VAT). According to Articles 3 and 4 of the Protocol on the Privileges and Immunities of the European Communities, the European Union Agency for Fundamental Rights is exempt from all charges, taxes and dues, including value added tax; such charges may not therefore be included in the calculation of the price quoted; the VAT amount must be indicated separately.

Prices shall be fixed and not subject to revision during the first year of performance of the contract. From the beginning of the second year of performance of the Contract, each price may be revised upwards or downwards each year in accordance with the provisions of the Draft Contract in Annex B.

<sup>4</sup> Please note that the first day should include travel costs





The services the financial offer refers to are intended to provide a fair, non-discriminatory basis for evaluation purposes. Consequently, cannot under any circumstances be considered to constitute a commitment on the part of the Contracting Authority to conclude specific contracts for the related services and quantities, and cannot give rise to any right of legitimate expectation on the part of the contractor.

However, the prices quoted by the tenderer in their financial offer are binding and will be applicable to the requested services/supplies by means of specific contracts or orders. If the tenderer is requested to quote unit prices in the Financial Offer Form included in Annex C, these unit prices are binding as well, and will be applicable to the services requested by means of specific contracts and orders.

## **19. Contract award – Phase IV**

The contract will be awarded to the tenderer offering the best value for money, on the basis of the following quality-price ratio:

Score for tender X = (financial score of tender X) \* 40 + (total quality score (out of 100) for all award criteria of tender X / 100) \* 60

## **20. Information to tenderers on the outcome of the tender**

The Contracting Authority will inform tenderers of decisions reached concerning the award of the contract, including the grounds for any decision not to award a contract or to recommence the procedure.

The Contracting Authority will inform as soon as possible all tenderers whose tenders are rejected of the reasons for their rejection. If a written request is received, the Contracting Authority will inform, within not more than 15 calendar days from the date on which the written request is received, all tenderers who meet the exclusion and selection criteria, of the characteristics and relative advantages of the successful tender and the name of the tenderer to whom the contract is awarded.

However, certain details may not be disclosed where disclosure would hinder application of the law, would be contrary to public interest or would harm the legitimate commercial interests of public or private undertakings or could distort fair competition between those undertakings.

## **21. No obligation to award the contract**

The tendering procedure shall not involve the Contracting Authority in any obligation to award the contract.

No compensation may be claimed by tenderers whose tender has not been accepted, including when the Contracting Authority decides not to award the contract.

## **22. Cancellation of the tender procedure**

In the event of cancellation of the tender procedure, tenderers will be notified of the cancellation by the Contracting Authority. If the tender procedure is cancelled before the outer envelope of





any tender has been opened, the unopened and sealed envelopes will be returned to the tenderers.

In no event shall the Contracting Authority be liable for any damages whatsoever including, without limitation, damages for loss of profits, in any way connected with the cancellation of a tender procedure even if the Contracting Authority has been advised of the possibility of damages.

## **23. How to submit the tender**

All tenders must be presented in three parts:

### **Part 1 – Administrative Proposal**

In the Administrative Proposal, tenderers must include all the information and documents required by the Contracting Authority for the assessment of tenderers on the basis of the exclusion and selection criteria described above. For that purpose, tenderers must fill and sign the following documents using the forms included in Annex C:

#### **Section A: Tenderers Identification**

- Tenderers identification form (administrative details). If the tenderer is a consortium or a group of companies, only the leader must fill in the form.
- Legal Entities Form, to be signed by a representative of the tenderer authorised to sign contracts with third parties. There is one form for individuals, one for private entities and one for public entities. If the tenderer is submitting a joint offer, a Legal Entities Form must be submitted by each consortium or group member. It also has to be submitted by each identified subcontractor whose intended share of the contract is above 10% of the total contract value.
- Bank Identification Form filled in and signed by an authorised representative of the tenderer and his or her banker. In case of a joint offer, only one of the members of the consortium or group of companies, usually the leader, must complete the Bank Identification Form.
- Power of Attorney (in case of joint offers). In case of a joint offer, the power of attorney has to be signed by the leader and all consortium partners, preferably in a single document.
- Statement on Subcontracting, indicating the intention of the tenderer to subcontract part of the contract and the percentage of subcontracting per identified subcontractor.
- Letter of Intent of identified subcontractors (only if the tenderer intends to subcontract part of the contract).

#### **Section B: Exclusion Criteria information**

- Declaration of Honour with respect to the Exclusion Criteria and Absence of Conflict of Interest. To be submitted by each consortium or group member in case of joint offers and by each identified subcontractor whose intended share of the contract is above 10% of the total contract value.





### **Section C: Selection Criteria (Economic and Financial capacity)**

- Copy of the financial statements (balance sheets, and profit and loss accounts) for the last two (2) financial years.
- Statement on the annual average turnover for the last 2 years.

### **Section D: Selection criteria (Technical and Professional Capacity)**

- Educational and professional qualifications of the profiles requested in Annex A.1 Technical Specifications.
- List of principal services performed or supplies delivered in the last three (3) years.

### **Part 2 – Technical proposal**

In the Technical Proposal, tenderers must include all the information required by the Contracting Authority for the evaluation of tenders on the basis of the technical award criteria described in Section 18.1 above, in particular:

#### **(a) Project management**

##### **(a.1) Description of approach adopted in order to implement the services and produce deliverables at the highest scientific quality, including ad-hoc services.**

Tenderers should explain in detail how they will implement the requested services as outlined in Annex A.1 – Technical Specifications.

The technical offer must show how gender balance will be ensured (see, among other, the relevant ILO checklists)<sup>5</sup> and explain how the project's language requirements will be fulfilled and how materials will be translated in the relevant languages, including Romani (see below). In addition, the technical offer should explain how the project will be monitored and documented, including through audio visual means, which will form part of the deliverables.

##### **(a.2) Composition of the project team**

The tenderer should present a proposal outlining the organisation and composition of the project team, specifying respective roles and contributions as detailed in sections 5 and 6 of Annex A.1 – Technical Specifications. The tenderer should keep in mind a balanced participation of Roma and non-Roma.

Tenderers should indicate how the project team will carry out the requested services; please outline risk mitigation measures in relation to project team composition.

#### **(b) Project methodology**

In their technical offer, tenderer should describe in detail the process of how the 1, 2, and 3 services under Section 3.2 of Annex A.1 – Technical Specifications will be carried out presenting a scenario on two concrete different localities in two different Member States.

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<sup>5</sup>

<http://dmeforpeace.org/sites/default/files/ILO%20Gender%20Mainstreaming%20GEMS%20Toolkit.pdf>





Steps taken to ensure quality control and risk mitigation also need to be outlined. In addition, tenderers should also include an indicative timeline per type of services as described under points 1, 2 and 3 of Section 3.2 of Annex A.1 – Technical Specifications, and explain clearly the methods and techniques they propose to apply for each service outlined below. Use, for example, the logical framework approach, which is referred to in Annex A.1 Technical Specifications.

**(b.1) Proposal for the preparatory research and analysis services, using scenario in two different localities in two MS**

The tenderer should describe in detail how they will carry out the background research and feasibility analysis, what factors they will take into account, how they will conduct a risk assessment and what will be the main element of a risk mitigation plan.

Tenderers should describe in detail how they will carry out the stakeholder analysis and needs assessment, outlining the techniques they propose to apply and explaining how they will conduct a risk assessment and the main elements of a risk mitigation plan.

**(b.2) Proposed methodology for the services related to pilot activities and review of project plans services**

In their technical offer tenderers should explain clearly and in detail **what type of participatory action research methods and techniques** they propose to apply, as well as how they propose to identify and select participants in different localities ensuring the balanced participation of Roma and non-Roma in all stages of the project. They should also show how they plan to address issues of community conflict and phenomena of discrimination and intolerance.

Tenderers should describe in detail how they **will carry out the pilot testing**, outlining the techniques they propose to apply and explaining how they will conduct a risk assessment and what will be the main elements of a risk mitigation plan.

In their technical offer, tenderers should describe how they will develop the **capacity of participants** through training and capacity building process.

**(b.3) Proposed methodology for the services related to the implementation of the project plans in all selected localities**

Tenderers should describe in detail how they **will conduct the research**, outlining the methodologies and techniques they propose to apply and explaining how they will conduct a risk assessment and what will be the main elements of a risk mitigation plan.

In their technical offer, tenderers should describe how they will coordinate the first round of monitoring and how they plan to facilitate local actors to continue on their own. They should also explain how they will document the progress of the project both through regular reporting as well as through audio-visual means.

**(c) Data protection and copyright**

(c.1) Data protection and copyright







Tenderers must clearly show in their technical offer how they will ensure that all activities related to this project will comply strictly to **national and EU data protection legislation**, especially Regulation EC No. 45/2001 and Directive 95/46/EC, as well as regulation EC No. 322/97 on the processing of data for statistical purposes. In particular, data collected in any interviews carried out with individuals in respect of their personal experience will be processed **anonymously** ensuring that the person cannot be identified. With respect to data subjects who were selected to be interviewed, and thus identified, the FRA shall be entitled to request and obtain access to the data processed on its behalf from contractors at any time. The FRA shall be informed promptly by contractors of any request of access and rectification that they receive directly from data subjects; contractors shall await instructions from the FRA before responding to any such request, and shall act promptly upon receiving instructions from the FRA.

**Please note** that tenderers should elaborate on all points addressed in Annex A.1 Technical Specifications in order to obtain as many points as possible. The mere repetition of mandatory requirements set out in Annex A.1, without going into details or without giving any added value, will only result in a low number of points. In addition, if certain essential points of Annex A.1 are not expressly covered by the tender, the Contracting Authority may decide to give a zero mark for the relevant qualitative award criteria.

The technical offer must be written with a lay person in mind. The style and presentation must, as far as is possible, be simple and clear, and free of jargon that obscures rather than promotes meaning to readers unfamiliar with it.

The technical proposal must be included in a separate envelope clearly marked as “Technical Offer”. Please note that there is no specific form to present your Technical Offer. However, it should be drawn up following the instructions above.

### **Part 3 – Financial Proposal**

In the Financial Proposal tenderers must indicate their financial offers by completing the Financial Offer Forms included in Annex C (Section F). Prices must be included in accordance with the instructions given in the corresponding sections of these Tender Specifications. The Financial Proposal must be included inside a separate envelope clearly marked as “Financial offer”.

## **24. Data protection**

Processing your reply to the invitation to tender will involve the recording and processing of personal data (such as your name, address and CV). Such data will be processed pursuant to Regulation (EC) No 45/2001 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data. Unless indicated otherwise, your replies to the questions and any personal data requested are required to evaluate your tender in accordance with the specifications of the invitation to tender and will be processed solely for that purpose by the Contracting Authority. You are entitled to obtain access to your personal data on request and to rectify any such data that is inaccurate or incomplete. If you have any queries concerning the processing of your personal data, you may address them to Contracting Authority’s Data Protection Officer. You have the right of recourse





at any time to the European Data Protection Supervisor for matters relating to the processing of your personal data.

## **25. Intellectual Property Rights (IPR)**

The tenderer is strongly advised to carefully read the IPR clauses included in Annex B Draft Contract regarding modes of exploitation of the results and ownership and transfer of pre-existing rights.

In the tender, all quotations or information originating from other sources and to which third parties may claim rights have to be clearly marked (source publication including the date and place, creator, number, full title, etc.) in a way allowing easy identification.

